

## Text Analysis for Automatic Image Annotation

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### Abstract

We present a novel approach to automatically annotate images using associated text. We detect and classify all entities (persons and objects) in the text after which we determine the salience (the importance of an entity in a text) and visualness (the extent to which an entity can be perceived visually) of these entities. We combine these measures to compute the probability that an entity is present in the image. The suitability of our approach was successfully tested on 50 image-text pairs of Yahoo! News.

### 1 Introduction

Our society deals with a growing bulk of unstructured information such as text, images and video, a situation witnessed in many domains (news, biomedical information, intelligence information, business documents, etc.). This growth comes along with the demand for more effective tools to search the information and to summarize the sources or the answers to a search. Moreover, there is the need to mine information from texts and images when they contribute to decision making by governments, businesses and other institutions. The capability to accurately recognize content in these sources would largely contribute to improved indexing, classification, filtering, mining and interrogation.

Algorithms and techniques for the disclosure of information from the different media have been developed for every medium independently during the last decennium, but only recently the interplay between these different media has become a topic of

interest. One of the possible applications is to help analysis in one medium by employing information from another medium. In this paper we study text that is associated to an image, such as for instance image captions, video transcripts or surrounding text in a web page. We develop techniques that extract information from these texts to help with the difficult task of accurate object recognition in images. Although images and associated texts never contain precisely the same information, in many situations the associated text offers valuable information that helps to interpret the image.

The central objective of the CLASS project<sup>1</sup> is to develop advanced learning methods that allow images, video and associated text to be automatically analyzed and structured. In this paper we test the feasibility of automatically annotating images by using textual information in near-parallel image-text pairs, in which most of the content of the image corresponds to content of the text and vice versa. We will focus on entities such as persons and objects. We will hereby take into account the text's discourse structure and semantics, which allow a more fine-grained identification of what content might be present in the image, and will enrich our model with world knowledge which is not present in the text.

We will first discuss the corpus on which we apply and test the developed techniques in section 2, after which we outline the developed techniques: we start with a baseline system to annotate images with person names (section 3) and improve this by computing the importance of the persons in the text (section 4). We will then extend the model to include all

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<sup>1</sup><http://class.inrialpes.fr/>



Hiram Myers, of Edmond, Okla., walks across the fence, attempting to deliver what he called a 'people's indictment' of Halliburton CEO David Lesar, outside the site of the annual Halliburton shareholders meeting in Duncan, Okla., leading to his arrest, Wednesday, May 17, 2006.

Figure 1: Image-text pair with entity "Hiram Myers" appearing both in the text and in the image.

type of objects (section 5) and improve it by defining and computing the *visualness* measure (section 6). Finally we will combine the different techniques in section 7.

## 2 The parallel corpus

We have created a parallel corpus consisting of 1700 image-text pairs, retrieved from the Yahoo! News website<sup>2</sup>. Every image has an accompanying text which describes the content of the image. This text will in general discuss one or more persons in the image, possibly one or more other objects, the location and the event for which the picture was taken. An example of an image-text pair is given in fig. 1. Not all persons or objects who are pictured in the photographs are necessarily described in the texts. The inverse is also true, i.e. content mentioned in the text may not be present in the image.

To build the content model of the text, we have combined different tools. We will evaluate every tool separately on 50 image-text pairs which have

<sup>2</sup><http://news.yahoo.com/>

been manually annotated. In this manner we have a detailed view on the nature of the errors in the final model. For every pair, a human annotator has evaluated entity segmentation (section 5), entity classification (section 6) and visualness (section 6) and salience (section 4) computation of the system. Also the final probabilistic model of appearance of the entity in the image is manually evaluated (section 7).

## 3 Annotating person names

We start with a model where we focus on detecting person names, as was done by (Sato et al., 1999; Berg et al., 2004). We want to discover what person names belong to people that are visible in the image. In section 5 we will extend this model to include all other objects.

### 3.1 Named Entity Recognition

A logical first step to detect person names is Named Entity Recognition (NER). We use the implementation of the Lingpipe<sup>3</sup> package. This package detects noun phrase chunks in the sentences that represent persons, locations and organizations. The package also resolves noun phrase coreferents that are in the form of pronouns. We have manually evaluated performance of this package on our test corpus and found that performance was not entirely satisfying: precision was 72.31% and recall 87.23%. Precision gives the percentage of identified person names by the system that corresponds to correct person names, and recall is the percentage of person names in the text that have been correctly identified by the system.

### 3.2 Baseline system

We want to annotate an image using the associated text. We try to find the names of persons which are both visible in the image *and* described in the text. We will start with a baseline system where we assume that all persons found in the text are present in the image. This results in a precision of 61.5% and a recall of 90.91%. The low precision is explained by the precision of the NER package and the fact that the texts often discuss people which are not present in the image. The first problem could be solved by employing a better NER package. But how can we

<sup>3</sup><http://www.alias-i.com/lingpipe/>

solve the second problem? How can we guess from a text whether an entity that is discussed in the text is visible in the image? In some cases, such as the following example, the text indicates whether a person is or is not visible in the image.

President Bush gestures [...] with Danish Prime Minister Anders Fogh Rasmussen, not pictured, at Camp David [...].

Developing a system that could extract this information is not trivial, and even so only a very small percentage of the texts in our test corpus contain this kind of information. In the next section we will tackle this problem reasonable successfully by determining the salience of a person.

#### 4 Detection of the salience of a person

Not all persons discussed in a text are equally important. Some persons are in the focus, while others are only mentioned briefly. We define a measure, *salience*, which is a number between 0 and 1 that represents the importance of an entity in a text. Typically a *tf* (term frequency)  $\times$  *idf* (inverse document frequency) of the terms that represent the entity in the text is computed. For certain types of tasks this calculation yields acceptable results. For short texts, as the ones we use in our experiments, an in depth analysis of the discourse and sentences is necessary. The majority of the entities are only mentioned once and we need a reliable way to discriminate their salience. We present here a method for computing the salience score of an entity based on the analysis of the discourse and an analysis of the individual sentences.

##### 4.1 Discourse segmentation

The discourse segmentation module, which we developed in earlier research, hierarchically and sequentially segments the discourse in different topics and subtopics resulting in a table of contents of a text (Moens, 2006). The table shows the main entities and the related subtopic entities in a tree-like structure that also indicates the segments (by means of character pointers) to which an entity applies. The algorithm detects patterns of thematic progression in texts and can thus recognize the main topic of a sentence (i.e., about whom or what the sentence speaks)

and the hierarchical and sequential relationships between individual topics. A mixture model, taking into account different discourse features, is trained with the Expectation Maximization algorithm on an annotated DUC-2003 corpus. We use the resulting discourse segmentation to define the salience of individual entities that are recognized as topics of a sentence. We compute for each noun entity  $e_r$  in the discourse its salience (*Sal1*) in the discourse tree, which is proportional with the depth of the entity in the discourse tree -hereby assuming that deeper in this tree more detailed topics of a text are described- and normalize this value to be between zero and one. When an entity occurs in different subtrees, its maximum score is chosen.

##### 4.2 Refinement with sentence parse information

Because not all entities of the text are captured in the discourse tree, we implement an additional refinement of the computation of the salience of an entity which is inspired by (Moens et al., 2006). The segmentation module already determines the main topic of a sentence. Since the syntactic structure is often indicative of the information distribution in a sentence, we can determine the relative importance of the other entities in a sentence by relying on the relationships between entities as signaled by the parse tree. When determining the salience of an entity, we take into account the level of the entity mention in the parse tree (*Sal2*), and the number of children for the entity in this structure (*Sal3*), where the normalized score is respectively inversely proportional with the depth of the parse tree where the entity occurs, and proportional with the number of children.

We combine the three salience values (*Sal1*, *Sal2* and *Sal3*) by using a linear weighting. We have experimentally determined reasonable coefficients for these three values, which are respectively 0.8, 0.1 and 0.1. Eventually, we could learn these coefficients from a training corpus (e.g., with the Expectation Maximization algorithm).

We do not separately evaluate our technology for salience detection as this technology was already extensively evaluated in the past (Moens et al., 2005; Moens, 2006).

	Precision	Recall	F-measure
NER	61.54%	90.91%	73.39%
NER+DYN	82.22%	84.09%	83.15%

Table 1: Comparison of methods to predict what persons described in the text will appear in the image, using Named Entity Recognition (NER), and the salience measure with dynamic cut-off (DYN).

### 4.3 Evaluating the improved system

The salience measure defines a ranking of all the persons in a text. We will use this ranking to improve our baseline system. We assume that it is possible to automatically determine the number of faces that are recognized in the image, which gives us an indication of a suitable cut-off value. This approach is reasonable since face detection (determine whether a face is present in the image) is significantly easier than face recognition (determine which person is present in the image). In the improved model we assume that persons which are ranked higher than, or equal to, the cut-off value appear in the image. For example, if 4 faces appear in the image we assume that only the 4 persons of which the names in the text have been assigned the highest salience, appear in the image. We see from table 1 that the precision (82.22%) has improved drastically, while the recall remained high (84.09%). This confirms the hypothesis that determining the focus of a text helps in determining the persons that appear in the image.

## 5 Annotation of persons and objects

In this section we extend our model to not include persons, but all other types of objects which are described in the text.

### 5.1 Entity segmentation

We will first detect what words in the text refer to an entity. Herefor, we perform part-of-speech tagging (i.e., detecting the syntactic word class such as noun, verb, etc.). We take that every noun in the text represents an entity. We have used LTPOS (Mikheev, 1997), which performed the task almost errorless (precision of 98.144% and recall of 97.36% on the nouns in the test corpus). Person names which were segmented using the NER package are also marked as entities.

### 5.2 Baseline system

We want to detect the objects and the names of persons which are both visible in the image and described in the text. We start with a simple baseline system, in which we assume that every entity in the text appears in the image. As can be expected, this results in a high recall (90.48%), and a very low precision (18.91%). We see that the problem here is even more severe than when detecting only person names. This can easily be explained because many entities (such as for example *July*, *idea* and *history*) will never (or only indirectly) be captured in a picture. In the next section we will try to determine what types of entities are more likely to appear in the image.

## 6 Detection of the visualness of an entity

The assumption that every entity in the text appears in the image is rather crude. We will enrich our model with external world knowledge to find entities which are not likely to appear in an image. We define a measure called *visualness*, which is defined as the extent to which an entity can be perceived visually. We first classify every entity according to a semantic database, and then use this classification to determine their visualness.

### 6.1 Entity classification

After we have performed entity segmentation, we want to classify every entity according to a certain semantic database. We use the WordNet (Fellbaum et al., 1998) database, which organizes English nouns, verbs, adjectives and adverbs in synsets. A synset is a collection of words that have a close meaning and that represent an underlying concept. An example of such a synset is “person, individual, someone, somebody, mortal, soul”. All these words refer to a human being. In order to correctly assign a noun in a text to its synset, i.e., to disambiguate the sense of this word, we use an efficient Word Sense Disambiguation (WSD) system that was developed by the authors and which is described in (Deschacht and Moens, 2006). Proper names are labeled by the Named Entity Recognizer, which recognizes persons, locations and organizations. These labels in turn allow us to assign the corresponding WordNet synset.

The combination of the WSD system and the NER package achieved a 75.97% accuracy in classifying the entities. Apart from errors that resulted from erroneous entity segmentation (32, 32%), errors were mainly due to the WSD system (56.56%) and in a smaller amount to the NER package (12.12%).

## 6.2 WordNet similarity

We determine the visualness for every synset using a method that was inspired by Kamps and Marx (2002). Kamps and Marx use a distance measure defined on the adjectives of the WordNet database together with two seed adjectives to determine the emotive or affective meaning of any given adjective. They compute the relative distance of the adjective to the seed synsets “good” and “bad” and use this distance to define a measure of affective meaning.

We take a similar approach to determine the visualness of a given synset. We first define a similarity measure between synsets in the WordNet database. Then we select a set of seed synsets, i.e. synsets with a predefined visualness, and use the similarity of a given synset to the seed synsets to determine the visualness.

## 6.3 Distance measure

The WordNet database defines different relations between its synsets. An important relation for nouns is the hypernym/hyponym relation. A noun X is a hypernym of a noun Y if Y is a subtype or instance of X. For example, “bird” is a hypernym of “penguin” (and “penguin” is a hyponym of “bird”). A synset in WordNet can have one or more hypernyms. This relation organizes the synsets in a hierarchical tree (Hayes, 1999).

The similarity measure defined by Lin (1998) uses this hypernym/hyponym relation, together with the information content of the least common subsumer of the two synsets. The least common subsumer (LCS) of concepts A and B is the most specific concept that is an ancestor of both A and B. Information content is a measure of the specificity of a concept, which is determined by counting the occurrences of that concept and its children in a corpus. In this particular case we use the SemCor (Fellbaum et al., 1998; Landes et al., 1998) corpus, which is the English Brown corpus with all noun chunks tagged

with their WordNet synset. This similarity measure augments the information content of the LCS with the sum of the information content of concepts A and B themselves and then scales the information content of the LCS by this sum. The WordNet::Similarity package (Pedersen et al., 2004) implements this distance measure and was used in this system.

## 6.4 Seed synsets

We have manually selected 25 seed synsets in WordNet, trying to cover the wide range of topics we were likely to encounter in the test corpus. We have set the visualness of these seed synsets to either 1 (visual) or 0 (not visual). We determine the visualness of all other synsets using these seed synsets. A synset that is close to a visual seed synset gets a high visualness and vice versa. We choose a linear weighting:

$$vis(s) = \sum_i vis(s_i) \left( \frac{sim(s, s_i)}{C(s)} \right)$$

where  $vis(s)$  returns a number between 0 and 1 denoting the visualness of a synset  $s$ ,  $s_i$  are the seed synsets,  $sim(s, t)$  returns a number between 0 and 1 denoting the similarity between synsets  $s$  and  $t$  and  $C(s)$  is constant given a synset  $s$ :

$$C(s) = \sum_i sim(s, s_i)$$

## 6.5 Evaluation of the visualness computation

To determine the visualness, we first assign the correct WordNet synset to every entity, after which we compute a visualness score for that synset. Since these scores are a floating point number, they are hard to evaluate manually. During evaluation, we make the simplifying assumption that all entities with a visualness below a certain threshold are not visual, and all entities above this threshold are visual. We choose this threshold to be 0.5. This results in accuracy of 79.56%. Errors are mainly caused by erroneous entity segmentation and classification (63.10%) but also because of an incorrect assignment of the visualness by the described method (36.90%).

## 7 Creating a content model using salience and visualness

In the previous section we have created a method to calculate a visualness score for every entity, because we stated that removing the entities which can never be perceived visually will improve the performance of our baseline system. An experiment proves that this is exactly the case. If we assume that only the entities that have a visualness above a 0.5 threshold are visible and will appear in the image, we get a precision of 41.76% and a recall of 84.52%. We see from table 2 that this is already a significant improvement over the baseline system.

In section 4 we have seen that the salience measure helps in determining what persons are visible in the image. We have used the fact that face detection in images is relatively easily and can thus supply a cut-off value for the ranked person names. In the present state-of-the-art, we are not able to exploit a similar fact when detecting all types of entities. We will thus use the salience measure in a different way. We compute the salience of every entity, and we assume that only the entities with a salience score above a threshold of 0.4 will appear in the image. We see that this method drastically improves precision to 63.51%, but also lowers recall until 55.95%.

We now create a last model where we combine both the visualness and the salience measures. We want to calculate the probability of the occurrence of an entity  $e_{im}$  in the image, given a text  $t$ ,  $P(e_{im}|t)$ . We assume that this probability is proportional with the degree of visualness and salience of  $e_{im}$  in  $t$ . In our framework,  $P(e_{im}|t)$  is computed as the product of the salience of the entity  $e_{im}$  and its visualness score, as we assume both scores to be independent.

Again, for evaluation sake, we choose a threshold of 0.5 to transform this continuous ranking into a binary classification. This results in a precision of 75.41% and recall of 54.76%. This model is the best of the 4 models for entity annotation which have been evaluated.

## 8 Related Research

Using text that accompanies the image for annotating images and for training image recognition is not new. The earliest work (only on person names) is by Satoh (1999) and this research can be considered

	Precision	Recall	F-measure
<b>Ent</b>	18.91%	90.48%	31.28%
<b>Ent+Vis</b>	41.76%	84.52%	55.91%
<b>Ent+Sal</b>	63.51%	55.95%	59.49%
<b>Ent+Vis+Sal</b>	75.41%	54.76 %	63.45 %

Table 2: Comparison of methods to predict the entities that appear in the image, using entity segmentation (Ent), and the visualness (Vis) and salience (Sal) measures.

as the closest to our work. The authors make a distinction between proper names, common nouns and other words, and detect entities based on a thesaurus list of persons, social groups and other words, thus exploiting already simple semantics. Also a rudimentary approach to discourse analysis is followed by taking into account the position of words in a text. The results were not satisfactory: 752 words were extracted from video as candidates for being in the accompanying images, but only 94 were correct where 658 were false alarms. Mori et al. (2000) learn textual descriptions of images from surrounding texts. These authors filter nouns and adjectives from the surrounding texts when they occur above a certain frequency and obtain a maximum hit rate of top 3 words that is situated between 30% and 40%. Other approaches consider both the textual and image features when building a content model of the image. For instance, some content is selected from the text (such as person names) and from the image (such as faces) and both contribute in describing the content of a document. This approach was followed by Barnard (2003).

Westerveld (2000) combines image features and words from collateral text into one semantic space. This author uses Latent Semantic Indexing for representing the image/text pair content. Ayache et al. (2005) classify video data into different topical concepts. The results of these approaches are often disappointing. The methods here represent the text as a bag of words possibly augmented with a  $tf$  (term frequency)  $\times$   $idf$  (inverse document frequency) weight of the words (Amir et al., 2005). In exceptional cases, the hierarchical XML structure of a text document (which was manually annotated) is taken into account (Westerveld et al., 2005). The most inter-

esting work here to mention is the work of Berg et al. (2004) who also process the nearly parallel image-text pairs found in the Yahoo! news corpus. They link faces in the image with names in the text (recognized with named entity recognition), but do not consider other objects. They consider all possible pairs of person name (text) and face (image) and use clustering with the expectation maximization algorithm to all faces belonging to a certain person. In their model they consider the probability that an entity is pictured given the textual context (i.e., the part-of-speech tags immediately prior and after the name, the location of the name in the text and the distance to particular symbols such as “(R)”), which is learned with a probabilistic classifier in each step of the iteration. They obtained an accuracy of 84% on person face recognition, thus confirming our findings about the importance of the texts that are associated with an image.

In the CLASS project we work together with groups specialized in image recognition, where evidence from many images and accompanying texts improves the content recognition and disambiguation in both media. We expect the results to improve if we consider many good correlations in a large data set of image-text pairs. On the other hand our approach is also valuable when there are few image-text pairs that picture a certain person or object. Also, the approach of Berg et al. can be augmented with the typical features that we use, namely salience and visualness.

None of the above state-of-the-art approaches consider salience and visualness as discriminating factors in the entity recognition, although these aspects could advance the state-of-the-art.

## 9 Conclusion

Our society in the 21st century produces gigantic amounts of data, which are a mixture of different media. Our repositories contain texts interwoven with images, audio and video and we need automated ways to automatically index these data and to automatically find interrelationships between the various media contents. This is not an easy task. However, if we succeed in recognizing and aligning content in near-parallel image-text pairs, we might be able to use this acquired knowledge in index-

ing comparable image-text pairs (e.g., in video) by aligning content in these media.

In the experiment described above, we analyze the discourse and semantics of texts of near-parallel image-text pairs in order to compute the probability that an entity mentioned in the text is also present in the accompanying image. First, we have developed an approach for computing the salience of each entity mentioned in the text. Secondly, we have used the WordNet classification in order to detect the visualness of an entity, which is translated into a visualness probability. The combined salience and visualness provide a score that signals the probability that the entity is present in the accompanying image.

We extensively evaluated all the different modules of our system, pinpointing weak points that could be improved and exposing the potential of our work in cross-media exploitation of content.

We were able to detect the entities in the text that are also present in the image with a precision of more than 75% and in addition were also able to detect the names of persons that are present in the image with a precision of more than 82%. These results have been obtained by relying only on an analysis of the text and were substantially better than the baseline approach. Even if we can not resolve all ambiguity, keeping the most confident hypotheses generated by our textual hypotheses will greatly assist in analysing images.

In the future we hope to extrinsically evaluate the proposed technologies, e.g., by testing whether the recognized content in the text, improves image recognition, retrieval of multimedia sources, mining of these sources, and cross-media retrieval. In addition, we will investigate how we can build more refined content models that incorporate attributes and actions of entities.

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